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| PTO-1449 REPRODUCED | | | | ATTORNEY DOCKET NO. CIS03-19 | | APPLICATION SERIAL NO. 10/071,328 | |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) <div style="position: absolute; left: 10px; top: 10px; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;"> OIP FEB 09 2004 PATENT & TRADEMARK OFFICE </div> | | | | APPLICANT Philip J.S. Gladstone, <i>et al.</i> | | | |
| | | | | FILING DATE February 8, 2002 | | GROUP 2121 | |
| | | | | U.S. PATENT DOCUMENTS | | | |

| EXAM- INER INI- TIAL | DOCUMENT NUMBER | DATE mm/dd/yy | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|-------------------------------|-----------------|------------------|---------------|-------|-----------|----------------------------------|
| Cg | AA 5,925,126 | 7/20/1999 | Vincent Hsieh | 713 | 200 | 3/18/97 |
| | AB | | | | | |
| | AC | | | | | |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |
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| | AK | | | | | |

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| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO | | | |
| Cg | AL WO 00/56027 | 9/21/00 | PCT | H04L | 29/06 | | | |
| Gg | AM WO 01/31420 A2 | 5/3/01 | PCT | G06F | 1/00 | | | |
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| | AQ | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
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| Cg | AR US Publication No.: US 2002/0194495 A1, Publication Date: December 19, 2002, Gladstone, <i>et al.</i> , Filing Date: June 14, 2002, Application No.: 10/172,305 |
| Gg | AS Ping Liu, "The Design and Implementation Of A Reference Monitor for the 2K Operating System, B.E., Tsinghau University, 1995, XP-0022 |
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| FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | APPLICATION NO.: Unassigned | | ATTY. DOCKET NO.: 000229/7002 | |
| | | | | FILING DATE: Herewith | | | |
| | | | | APPLICANT: Philip J. S. Gladstone et al. | | | |
| | | | | GROUP ART UNIT: Unassigned 2121 | | EXAMINER: Unassigned | |
| Sheet | 1 | of | 1 | | | | |

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OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials# | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) | |
|----------------------|---------|---|-------------------|--|
| G.D | A1 | ANDERSON, Debra et al., "Next-generation Intrusion Detection Expert System (NIDES) A Summary," Technical Report SRI-CSL-95-07, Computer Science Laboratory, SRI International, May 1995, 47 pgs. | | |
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| G.D | A4 | SPAFFORD, Eugene H. et al., "Intrusion detection using autonomous agents", Computer Networks 34 (2000), pp. 547-570. | | |
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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